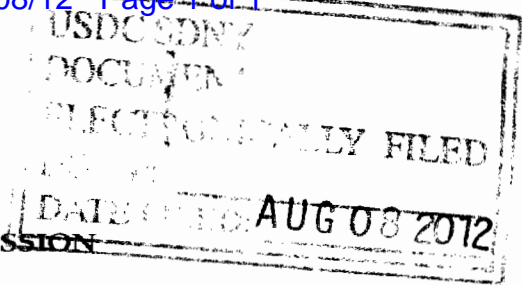




UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
NEW YORK REGIONAL OFFICE  
3 WORLD FINANCIAL CENTER  
ROOM 400  
NEW YORK, NEW YORK 10281-1022



August 6, 2012

**Via Facsimile: 212-805-6737**

The Honorable George B. Daniels  
United States District Judge  
U.S. District Court for the Southern District of New York  
500 Pearl Street  
New York, NY 10007-1312

Re: *SEC v. Tai Nguyen*; 12 Civ. 5009 (GBD)

Dear Judge Daniels:

The undersigned counsel represents Plaintiff Securities and Exchange Commission in the above-referenced action.

We write, on behalf of the SEC and defendant Tai Nguyen, to request a 60-day adjournment of the Initial Pretrial Conference that is currently scheduled for August 16, 2012 at 9:30 a.m. This is the first request for an adjournment by either party.

The parties make this joint request because we are actively engaged in settlement discussions and hope to resolve this action relatively soon. (The defendant has already pleaded guilty in a parallel criminal action.) Please feel free to contact the undersigned if you have any questions.

Respectfully submitted,

Daniel R. Marcus  
Senior Counsel

cc: David Wikstrom, Esq.  
*Counsel to Defendant Tai Nguyen*

**SO ORDERED**  
The conference is adjourned to  
October 18, 2012 at 9:30 a.m.  
*George B. Daniels*  
HON. GEORGE B. DANIELS